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Substitute for Form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

3

<i>Complete If Known</i>	
Application Number	10/033,430
Filing Date	December 27, 2001
First Named Inventor:	King L. Won
Group Art Unit	2633
Examiner Name	****

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002114.P027 MAY 09 2002

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PATENT & TRADEMARK OFFICE**OTHER ART - NO PATENT LITERATURE DOCUMENTS**

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Examiner Initials*	File No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published <sup>T<sup>2</sup></sup>
HP		HP Press Release, SuperComm '99: HP Launches Dedicated DWDM Test Solution, System Maximizes Throughput and Economy of Test for Network Equipment Manufacturers, ATLANTA, SUPERCOMM '99, June 8, 3 pages
HP		Test & Measurement News Lightwave, Agilent Technologies Test & Measurement Online News & Events, Agilent SpectraBER testers handle 10-Gb/s, 2.5-Gb/s, 622-Mb/s, and 155-Mb/s rates, DWDM and SONET/SDH functional test to 10 Gb/s, February 2000, Issue 60, 2 pages, <a href="http://www.tm.agilent.com/tmo/TMNews/English/17-0002.html">http://www.tm.agilent.com/tmo/TMNews/English/17-0002.html</a>
HP		Test & Measurement News Lightwave, Agilent Technologies Test & Measurement Online News & Events, Get complete, reliable test capability for DWDM system installation and maintenance, Characterize DWDM systems in the field, November 1999, Issue 59, 2 pages, <a href="http://www.tm.agilent.com/tmo/TMNews/English/02-9911.htm">http://www.tm.agilent.com/tmo/TMNews/English/02-9911.htm</a>
HP		Launching the Q8384 Optical Spectrum Analyzer For Evaluating Next Generation Optical Communications Systems And Components/With a 10pm Wavelength Resolution and 60dB Dynamic Range, Perfectly Suited for DWDM Optical Communications, ADVANTEST CORPORATION Press Release, July 7, 1999, 2 pages, <a href="http://www.www.advantest.co.jp/99-7-7-e.html">http://www.www.advantest.co.jp/99-7-7-e.html</a>
HP		DIGI Press Release, Digital Lightwave Introduces Industry's First Integrated Portable DWDM Analyzer, Digital Lightwave, Thursday, May 18, 2000, 2 pages, <a href="http://www.lightwave.com/newspress/051700rls.htm">http://www.lightwave.com/newspress/051700rls.htm</a>
HP		OSA-155 DWDM System Analyzer, Spectrum and WDM parameter analysis for applications on DWDM systems, Wavetech Wandel Goltermann, 1999, 5 pages <a href="http://fiberoptics.wwgsolutions.com/products/osa/osa155.html">http://fiberoptics.wwgsolutions.com/products/osa/osa155.html</a>
HP		MN9320A Optical Channel Drop Unit, BER Testing 10 Gbps, 50GHz Spacing ± 20 pm Accuracy, Independent Test Access Tool for Comprehensive DWDM Measurements, Anritsu, 8 pages

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**Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001**

**WIPO ST.16 Kind Codes****Kind of document****Comments**

 <b>WIPO ST.16 Kind Codes</b> A1 A2 A9 B1 B2 C1, C2, C3... E H P1 P2 P3 P4 P9 S	Patent Application Publication	Pre-grant publication available March 2001
	Patent Application Publication (Republication)	Pre-grant publication available March 2001
	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
	Patent	No previously published pre-grant publication
	Patent	Having a previously published pre-grant publication and available March 2001
	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
	Reissue Patent	No change
	Statutory Invention Registration (SIR)	No change
	Plant Patent Application Publication	Pre-grant publication available March 2001
	Plant Patent	No previously published pre-grant publication
	Plant Patent	Having a previously published pre-grant publication and available March 2001
	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
	Design Patent	No change

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